

FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

87977.629101

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/763149

INTERNATIONAL APPLICATION NO.
PCT/EP99/06081INTERNATIONAL FILING DATE
19 August 1999PRIORITY DATE CLAIMED
19 August 1998

TITLE OF INVENTION

METHOD AND DEVICE FOR THE CONCATENATION OF AUDIOSEGMENTS, TAKING INTO ACCOUNT
COARTICULATION

APPLICANT(S) FOR DO/EO/US

Christoph Buskies

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

Small Entity Certification

English Translation of the International Application Claims as Amended Under Article 34 PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 3)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/763149	PCT/EP99/06081	87977.029101

21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$860.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	66 - 20 =	46	x \$18.00
Independent claims	4 - 3 =	1	x \$80.00

\$828.00**\$80.00**Multiple Dependent Claims (check if applicable). ☐**\$0.00****TOTAL OF ABOVE CALCULATIONS =****\$1,898.00**

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☒

\$949.00**SUBTOTAL =****\$949.00**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00**TOTAL NATIONAL FEE =****\$949.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00**TOTAL FEES ENCLOSED =****\$949.00**

Amount to be:	\$
refunded	
charged	\$

- ☐ A check in the amount of _____ to cover the above fees is enclosed.
- ☒ Please charge my Deposit Account No. **10-0223** in the amount of **\$949.00** to cover the above fees.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **10-0223** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas R. FitzGerald, Esq.
Reg. No. 26,730
JAECKLE FLEISCHMANN & MUGEL, LLP
39 State Street
Rochester, New York 14614-1310
Tel: (716) 262-3640
Fax: (716) 262-4133

SIGNATURE

Thomas R. FitzGerald

NAME

Reg. No. 26,730

REGISTRATION NUMBER

February 16, 2001

DATE

PATENT
87977.029101

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:	Christoph Buskies)	Examiner:
)	Unknown
Int. Application No. :	PCT/EP99/06081)	
)	
Int. Filing Date:	19 August 1999)	Art Unit:
)	Unknown
For:	METHOD AND DEVICE FOR THE)	
	CONCATENATION OF)	
	AUDIOSEGMENTS, TAKING INTO)	
	ACCOUNT COARTICULATION)	
)	

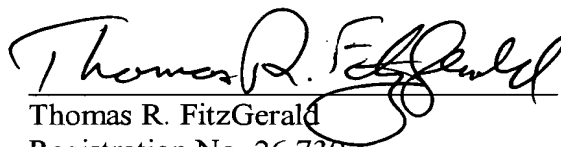
SMALL ENTITY CERTIFICATION
PURSUANT TO CFR § 1.27 & 1.28

Box: PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I, Thomas R. FitzGerald, hereby certify that Christoph Buskies qualifies for small entity status pursuant to CFR § 1.27 & 1.28.

Dated: 2/17/01


Thomas R. FitzGerald
Registration No. 26,730
Attorney for Applicant

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Fax: (716) 262-4133

PATENT
87977.029101

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:	Christoph Buskies)	Examiner:
)	Unknown
PCT International)	
Application No. :	PCT/EP99/06081)	
)	
International)	
Filing Date:	19 August 1999)	Art Unit:
)	Unknown
For:	METHOD AND DEVICE FOR THE)	
	CONCATENATION OF AUDIOSEGMENTS,)	
	TAKING INTO ACCOUNT)	
	COARTICULATION)	
)	
)	

EXPRESS MAIL CERTIFICATE

Assistant Commissioner for Patents and Trademarks
Washington, D.C. 20231
BOX: PCT


Dear Sir:

Certificate is attached to the Transmittal Letter to the U.S. Designated Elected Office
Concerning a Filing Under 35 U.S.C. § 371 (In Duplicate) (4 pgs) of the above-named
application.

"EXPRESS MAIL" Label Number EL692934382US
DATE OF DEPOSIT February 16, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal
Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date
indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks,
Washington, D.C., 20231, Box: PCT.

Penny R. Turrell
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper
or fee)